



-1-

## UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kwok Ng et al.

Serial No.: 10/645,022

Filed: August 21, 2003

Art Unit: To Be Assigned

Examiner: To Be Assigned

Title: SILICON NANOPARTICLES EMBEDDED IN POLYMER  
MATRIX**INFORMATION DISCLOSURE STATEMENT**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

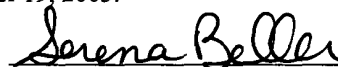
Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

---

**CERTIFICATION UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on September 19, 2003.

  
\_\_\_\_\_  
SignatureSerena Beller  
\_\_\_\_\_  
(Printed name of person certifying)

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: 

Kelly K. Kordzyk  
Reg. No. 36,571

P.O. Box 50784  
1201 Main Street  
Dallas, Texas 75250-0784  
(512) 370-2851

In Place of FORM PTO-1449 (Modified)

Serial No.: 10/645,022

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**

Applicants: Kwok Ng et al.

Filing Date: August 21, 2003

Group: To Be Assigned

Atty. Docket No.: 12179-P112US



Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____ AAA						
____ ABA						
____ ACA						
____ ADA						
____ AEA						
____ AFA						
____ AGA						
____ AHA						
____ AIA						
____ AJA						
____ AKA						
____ ALA						
____ AMA						
____ ANA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____ AOA						
____ APA						
____ AQA						
____ ARA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner  
Initial

- \_\_\_\_ ASA Delia J. Milliron et al. "Designed for Charge Transfer: Complexes of CdSE Nanocrystals and Oligothiophenes," Mat. Res. Soc. Symp. Proc. Vol. 725, pp. 177-178.
- \_\_\_\_ ATA V. Aroutiounian et al. "Quantum Dot Solar Cells," 2 pages.
- \_\_\_\_ AUA E. Kymakis et al. "Single-Wall Carbon Nanotube/Conjugated Polymer Photovoltaic Devices," *Applied Physics Letters*, Vol. 80, No. 1, January 7, 2002, pp. 112-114.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUSTIN\_1\231860\1  
12179-P112US